



Compliance Frameworks in the Banking Industry

25th – 29th June 2018

Kenya

Course Outcomes:

- Highlighting the Significant Role of Financial Institutions in the Economy
- FATF 40/ FATF Recommendations and 9 special recommendations
- Design and Implementation of a Risk Management Framework for Banking and Non-Banking Institutions Addressing the New Basel III Principles for Risk Management and Corporate Governance
- Highlighting the Role of Financial Institution Supervision and Corporate Governance in The Risk Management Processes

Who Should Attend?

- Regulatory and Supervisory Authorities
- Chief Compliance Officers
- Chief Financial Officers
- Chief Risk Officers
- Financial Institution Management
- Financial Managers
- Compliance and Legal Managers
- Internal Auditors

About your Course Presenters:

BMil (BCom), Hons BCom, MCom & DCom

Your Facilitator is currently lecturing at the University of South Africa (UNISA) in risk management and banking. He is a fellow of the Institute of Operational Risk Management, based in London as well as a member of the Institute of Risk Management South Africa. He authored a book on operational risk management which is prescribed for various qualifications. He presented papers on risk management at various national and international conferences such as the Middle East, USA and Australia. He also published a number of articles on risk management with an accredited international journal. He, furthermore, consults to various corporate institutions on compliance and risk management such as Standard Bank, FirstRand Bank, and Government Departments such as the Department of Labour. He would like to enhance the establishment of operational risk as a distinguished management discipline in the business environment and is currently involved in various initiatives to achieve this goal.

B A, BCom (Hons), H ED Postgraduate Diploma, M Com (Economics)

Your Facilitator started her career in banking, working in various positions at Trust Bank, Nedbank and the SA Reserve Bank. She also has wide experience on government policy domestically and internationally working in senior roles at the National Treasury, the Competition Commission, the Ministry of Economic Development in New Zealand and the US Embassy in Pretoria during 1988 to 2009. Early in 2010 she joined UNSA as a senior lecturer, lecturing Governance, Compliance and Risk management for the Post Graduate Diploma in Risk. She also lectures Compliance Management and Treasury Management. She is currently busy with research for her Doctoral studies.



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